



# The polyphenols resveratrol and epigallocatechin-3-gallate restore the severe impairment of mitochondria in hippocampal progenitor cells from a Down syndrome mouse model



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## ABSTRACT

Mitochondrial dysfunctions critically impair nervous system development and are potentially involved in the pathogenesis of various neurodevelopmental disorders, including Down syndrome (DS), the most common genetic cause of intellectual disability. Previous studies from our group demonstrated impaired mitochondrial activity in peripheral cells from DS subjects and the efficacy of epigallocatechin-3-gallate (EGCG) – a natural polyphenol major component of green tea – to counteract the mitochondrial energy deficit. In this study, to gain insight into the possible role of mitochondria in DS intellectual disability, mitochondrial functions were analyzed in neural progenitor cells (NPCs) isolated from the hippocampus of Ts65Dn mice, a widely used model of DS which recapitulates many major brain structural and functional phenotypes of the syndrome, including impaired hippocampal neurogenesis. We found that, during NPC proliferation, mitochondrial bioenergetics and mitochondrial biogenic program were strongly compromised in Ts65Dn cells, but not associated with free radical accumulation. These data point to a central role of mitochondrial dysfunction as an inherent feature of DS and not as a consequence of cell oxidative stress. Further, we disclose that, besides EGCG, also the natural polyphenol resveratrol, which displays a neuroprotective action in various human diseases but never tested in DS, restores oxidative phosphorylation efficiency and mitochondrial biogenesis, and improves proliferation of NPCs. These effects were associated with the activation of PGC-1 $\alpha$ /Sirt1/AMPK axis by both polyphenols. This research paves the way for using nutraceuticals as a potential therapeutic tool in preventing or managing some energy deficit-associated DS clinical manifestations.

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**Abbreviations:** AA, antimycin; AICAR, 5-aminoimidazole-4-carboxamide-1- $\beta$ -D-ribofuranoside; AMPK, 5' AMP-activated protein kinase; Ap5A, P<sup>1</sup>, P<sup>5</sup>-Di(adenosine-5') pentaphosphate; ASC, ascorbate; BrdU, bromodeoxyuridine; CC, Compound C; CN<sup>-</sup>, cyanide; DCF, dichlorofluorescein; DCFH-DA, 2',7'-dichlorofluorescein diacetate; DS, Down syndrome; EGCG, epigallocatechin-3-gallate; FCCP, carbonyl cyanide p-trifluoromethoxyphenylhydrazone; G6P-DH, glucose 6 phosphate dehydrogenase; GAPDH, glyceraldehyde 3-phosphate dehydrogenase; gDNA, genomic DNA; GLU/MAL, glutamate plus malate; HK, hexokinase; HOVA, homovanillic acid; MRC, mitochondrial respiratory chain; mtDNA, mitochondrial DNA; NPCs, neural progenitor cells; NRF-1, nuclear respiratory factor 1; OXPHOS, oxidative phosphorylation; OLIGO, oligomycin; PGC-1  $\alpha$ , peroxisome proliferator-activated receptor-gamma coactivator 1 alpha; POX, horseradish peroxidase; ROS, reactive oxygen species; ROS-ds, ROS-detecting system; ROT, rotenone; RSV, resveratrol; SOD, superoxide dismutase; SUCC, succinate; T-FAM, mitochondrial transcription factor A; TMPD, N,N,N',N'-tetramethyl-p-phenylenediamine; wt, wild type.

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## 1. Introduction

Down syndrome is a genetic disorder caused by trisomy of chromosome 21. Mild to severe intellectual disabilities were observed in DS patients for whom the extra chromosome 21 causes various neuroanatomical abnormalities, such as reduction in brain size and weight [1]. Likewise, abnormal neuronal density and distribution were documented using induced pluripotent stem cell-derived DS neurons [2].

To analyze the mechanisms involved in DS-associated intellectual disability and the neuronal development impairment in DS, over the past decades, several trisomic DS mouse models have been generated, recapitulating the essential genetic and cognitive deficits of human DS, as reviewed in [3]. The most widely used model is Ts65Dn mouse carrying a partial triplication of mouse chromosome 16, the portion that is analogous to 21q21–21q22.3. The triplication

of these genes reproduces many of the cognitive deficits associated with pediatric development and later Alzheimer disease pathology associated with the syndrome [4]. Reduced adult neurogenesis has been shown to mainly depend on impaired Ts65Dn proliferation of neural progenitor cells (NPCs) in the Ts65Dn hippocampal dentate gyrus [5]. NPCs have self-renewal and proliferative abilities and are capable of differentiating into neurons and astrocytes, and thus are widely used to study neurogenesis *in vitro* [6]. Although defective GABAergic signaling has been proposed to contribute, at least partially, to the overall impairment of cognitive functions in Ts65Dn mice [7,8], decreased neurogenesis is also believed to play a role in DS phenotype [5]. However, the underlying mechanism involved in defective proliferation of Ts65Dn neuronal precursor cells still remains unknown.

Brain mitochondria, by generating energy and regulating subcellular calcium and redox homeostasis, are essential for neural development processes including self-renewal and differentiation of neural stem cells, axonal and dendritic growth, and synaptic formation and reorganization [9]. Therefore, dysfunctional mitochondria and alterations in energy metabolism negatively affect neural precursor proliferation and neuronal development [10–12].

While the maintenance of mitochondrial bioenergetics seems required for NPC proliferation and neurogenesis in mouse [13,14], there is no information on the possible link between mitochondrial function and defective neurogenesis in DS mouse models. However, we have previously known that mitochondrial dysfunction has a crucial role in human peripheral DS cells [15,16]. In the present study, we explored whether and how mitochondrial functions are also affected in hippocampal NPCs obtained from Ts65Dn mice and whether mitochondrial targeting molecules would improve NPC proliferation. Therefore, we tested in this *in vitro* model of neurogenesis, the effect of two polyphenols the epigallocatechin-3-gallate (EGCG) and resveratrol (RSV), natural pharmacological tools known to improve mitochondrial functions in a variety of neuronal cell types and diseases [17–23].

EGCG, a green tea catechine, has been extensively studied as interesting drug candidate for DS treatment. This polyphenol appears to rescue brain functions and improve some cognitive phenotypes in Ts65Dn mouse model and in adults with DS [24]. We have demonstrated in human DS cell cultures the efficacy of EGCG in counteracting oxidative stress and mitochondrial energy deficit [25] and recently reported that a dietary supplementation of EGCG plus fish oil omega-3 in a DS child is safe, rescues mitochondrial dysfunction and improves some behavioral deficits [26]. Although many modalities of action have been recently suggested for this catechine (reviewed in Ref. [17]), the mechanistic basis underlying its effects in DS is not completely established at the molecular level.

RSV, a natural polyphenolic compound found in a wide variety of plant species, induces expression of genes involved in mitochondrial biogenesis, oxidative phosphorylation and endogenous antioxidant defense by modulation of cell signaling pathways that control cell homeostasis [27,28]. Recently, neuroprotective efficacy of RSV against prenatal stress induced impaired postnatal hippocampal neurogenesis has been reported [29]. Although thousands research paper and review articles have been published related to its pharmacological activities in cardiovascular, inflammation, cancer and neurological diseases (for recent reviews see [30,31]), no study has been performed on the effect of this polyphenol in Down syndrome.

The results of the present work show that both EGCG and RSV reverse the severe impairment of mitochondrial bioenergetics and biogenesis in Ts65Dn-derived hippocampal progenitor cells rescuing the *in vitro* impaired neurogenesis. This is likely linked to the activation of the PGC-1 $\alpha$ /Sirt1/AMPK axis suggesting that besides EGCG, a potential beneficial action of resveratrol for treatment in DS.

## 2. Materials and methods

### 2.1. Adult hippocampal NPC cultures

The NPC cell lines were previously isolated from the dentate gyrus of adult (6–8 weeks) Ts65Dn mice, carrying a partial trisomy of chromosome 16 or wild type (wt) littermates [5].

Adult NPC cell lines were obtained from pooled dentate gyrus tissue obtained from 11 to 12 mice (mixed male and female) for each genotype. Cells were cultured as a monolayer on poly-D-lysine (PDL; Sigma-Aldrich) and laminin-coated (Roche) flasks in Neurobasal medium containing 2% B27 (minus vit A), 1% GlutaMAX, and 1% penicillin-streptomycin solution (all from Invitrogen) supplemented with recombinant FGF-2 and EGF (20 ng/ml, PeproTech), as previously described [32]. NPCs were passaged at 70–80% confluence by harvesting with Accutase (PAA Laboratories) and re-plating at  $10^4$  cells/cm<sup>2</sup>. After initial isolation, NPCs were expanded for 3–4 passages and aliquots of cell suspension in medium containing 10% DMSO, were kept frozen in liquid nitrogen, as previously described [33]. All cells were positive for the NPC markers nestin and Sox2 [5]. All experiments were done using cells obtained after 2–3 passages following thawing. For all experiments, cells were grown for 48 h in medium containing 2 ng/ml FGF-2 and EGF before analysis. Cell cultures were kept in a 5% CO<sub>2</sub> humidified atmosphere at 37 °C.

### 2.2. EGCG and RSV treatments

EGCG – extract from green tea leaves with a purity >95% (Sigma-Aldrich) – and resveratrol from grape skin >99% (Sigma-Aldrich) were prepared at 100 mM concentration in DMSO and stocked in aliquots at –20 °C. For each experiment, NPCs, cultured for 48 h in medium containing 2 ng/ml FGF-2 and EGF, were added with EGCG and RSV, freshly diluted in cell culture medium at a concentration of 20  $\mu$ M and 10  $\mu$ M, respectively, and incubated for 24 h. In both wt and NPC non-treated cells an equal volume of DMSO was added (vehicle).

### 2.3. Measurement of oxygen consumption in digitonin-permeabilized NPCs

Oxygen consumption measurements were carried out using a Gilson 5/6 oxygraph with a Clark electrode, essentially as reported in [15]. NPCs (1 mg protein) were pre-incubated with digitonin (0.01% w/v) at 37 °C for 5 min, in 1.5 ml of the respiration medium consisting of 210 mM mannitol, 70 mM sucrose, 3 mM MgCl<sub>2</sub>, 20 mM Tris/HCl, 5 mM KH<sub>2</sub>PO<sub>4</sub>/K<sub>2</sub>HPO<sub>4</sub>, (pH 7.4) plus 5 mg/ml BSA. The oxygen consumption was monitored in the presence of the sequential addition of the respiratory substrates glutamate plus malate (GLU/MAL, 5 mM each), succinate (SUCC, 5 mM) and ascorbate (ASC, 5 mM) plus N,N,N',N'-tetramethyl-p-phenylenediamine (TMPD, 0.5 mM) under uncoupled conditions *i.e.* in the presence of carbonyl cyanide p-trifluoromethoxyphenylhydrazone (FCCP, 1.25  $\mu$ M). Where indicated, rotenone (ROT, 3  $\mu$ M), antimycin (AA, 2  $\mu$ M) and cyanide (CN<sup>-</sup>, 0.3 mM) were added to inhibit the complex I, III and IV activities, respectively. The sensitivity of the instrument was set to allow the measurement of oxygen consumption as low as 0.2 natO/min. The rate of oxygen consumption, obtained from the tangent to the initial part of the progress curve, was expressed as natO/min  $\times$  mg cell protein.

### 2.4. Measurement of mitochondrial ATP production rate in NPCs

The rate of ATP production by OXPHOS was determined in digitonin-permeabilized cells, essentially, as previously described [15]. Briefly, NPCs (0.3–0.5 mg protein) were incubated at 37 °C in 2 ml of respiratory medium pH 7.4 in the presence of the ATP detecting system consisting of glucose (2.5 mM), hexokinase (HK, 2 e.u.), glucose 6-phosphate dehydrogenase (G6P-DH, 1 e.u.) and NADP<sup>+</sup> (0.25 mM) in the presence

of GLU plus MAL (5 mM each) or SUCC (5 mM) plus ROT (3  $\mu$ M), as energy sources, plus 10  $\mu$ M diadenosine pentaphosphate (Ap5A), used to specifically inhibit adenylate kinase. After 5 min of incubation with digitonin (0.01% w/v), ADP (0.5 mM) was added to start the reaction and the reduction of NADP<sup>+</sup> in the extra-mitochondrial phase was monitored as an increase in absorbance at 340 nm. As a control, the ATP synthase inhibitor oligomycin (OLIGO, 5  $\mu$ g/10  $\mu$ l) was added in course of reaction to show the inhibition of the mitochondrial ATP production. Care was taken to use enough HK/G6P-DH coupled enzymes to ensure a non-limiting ADP-regenerating system for the measurement of ATP production.

### 2.5. Measurement of ATP and L-lactate content in NPCs

NPCs were detached from plate, washed with PBS and cellular ATP was extracted by using the boiling water procedure, as described in Ref. [34]. The amount of intracellular ATP was determined enzymatically in the extracts, as described in Ref. [15].

L-lactate concentration was measured in culture medium by using the L-lactate dehydrogenase method that gives a reliable estimate of L-lactate production inside the cells [35].

### 2.6. NPC proliferation assay

For the proliferation assay, NPCs were dissociated and plated at a density of  $0.5 \times 10^5$  cells/ml in 96-well plates. Cell proliferation was determined by the amount of incorporated bromodeoxyuridine (BrdU) using a labeling and detection kit (Millipore) according to the manufacturer's instructions. The extent of BrdU intake was determined by immunostaining for BrdU, fixing the cells, denaturing the DNA and measuring the absorbance at the measure-reference wavelengths of 450–595 nm, respectively using a spectrometric plate reader. To ensure validity of the experiment, for each time point, wells with only the culture media (no cells) and cells without BrdU label were included in the assay.

### 2.7. Measurement of mitochondrial respiratory chain complex activities

Measurements of MRC complex activities were carried out in mitochondrial membrane-enriched fractions obtained from NPCs. For isolation of mitochondrial membrane-enriched fractions, pellets obtained by NPCs were first frozen at  $-80^\circ\text{C}$ , then thawed at  $2-4^\circ\text{C}$ , suspended in 1 ml of 10 mM TRIS-HCl (pH 7.5) plus 1 mg/ml BSA and exposed to ultrasound energy, as described in Ref. [25]. Measurement of MRC complex activities were performed, essentially as in Ref. [36], by three assays which rely on the sequential addition of reagents to measure the activities of: i) NADH:ubiquinone oxidoreductase (complex I) followed by ATP synthase (complex V), ii) succinate:ubiquinone oxidoreductase (complex II) and iii) cytochrome c oxidase (complex IV) followed by cytochrome c oxidoreductase (complex III).

### 2.8. Reactive oxygen species (ROS) production in NPCs

Production of superoxide anion and hydrogen peroxide by mitochondria was measured (without discriminating between them) as H<sub>2</sub>O<sub>2</sub> production rate in the presence of endogenous and exogenous (70 e.u.) superoxide dismutase (SOD). H<sub>2</sub>O<sub>2</sub> production rate was measured using homovanillic acid (HOVA, 200  $\mu$ M) and horseradish peroxidase (POX, 8 e.u.) forming a fluorescent dimer monitored at the excitation/emission wavelengths of 312/420 nm. In each experiment the arbitrary fluorescence units were converted to amounts of H<sub>2</sub>O<sub>2</sub> by measuring the increase in fluorescence after the addition of known amounts of H<sub>2</sub>O<sub>2</sub> in the presence of POX, HOVA and SOD (ROS-detecting system, ROS-ds) [37]. NPCs were detached from plate, washed with PBS and 1.3 mg of digitonin-permeabilized cells were incubated at  $37^\circ\text{C}$  in a final volume of 2 ml of assay medium consisting of 145 mM KCl, 30 mM Hepes-Tris, 5 mM KH<sub>2</sub>PO<sub>4</sub>, 3 mM MgCl<sub>2</sub>, 0.1 mM EGTA, and 0.1% fatty-

acid-free albumin (pH 7.4). The mitochondrial production of H<sub>2</sub>O<sub>2</sub> was detected after the addition of the respiratory substrates glutamate/malate (GLU/MAL, 5 mM each). The rate of H<sub>2</sub>O<sub>2</sub> generation was obtained from the tangent to the progress curve and expressed as pmol of H<sub>2</sub>O<sub>2</sub> formed/min  $\times$  mg of mitochondrial proteins.

Quantitative analysis of intracellular ROS levels was performed by means of LS50 Perkin Elmer spectrofluorimeter using 2',7'-dichlorofluorescein diacetate (DCFH-DA), a non-fluorescent dye which is hydrolyzed in cells and reacts with multiple types of ROS, mainly H<sub>2</sub>O<sub>2</sub>, to give the fluorescent product, dichlorofluorescein (DCF) [38]. Cultured cells were incubated with 5  $\mu$ M DCFH-DA for 30 min under growth conditions, washed and suspended in PBS. Fluorescence increase was recorded at excitation-emission wavelengths of 488–520, respectively, and normalized to the protein content to determine the relative cell ROS amount.

### 2.9. Immunoblot analysis

NPCs were lysed with 0.1% Triton in PBS in the presence of a protease inhibitor cocktail (Sigma-Aldrich). Cell lysate (0.05 mg protein) was resolved by a 10–12% SDS-NuPAGE Bis/Tris gel (Life Technologies), depending on the molecular weight, and transferred to a polyvinylidene difluoride membrane (Millipore). Membranes were blocked by TBS-T (50 mM Tris, 150 mM NaCl, 0.02% Tween 20, pH 7.5) containing 3% BSA and probed with the following primary antibodies overnight at  $4^\circ\text{C}$ : MitoProfile OXPHOS cocktail (1:1000 dilution, MitoSciences); anti-PGC-1 $\alpha$  (1:500, Calbiochem); anti-NRF-1 (1:200, Santa Cruz); anti-T-FAM (1:200, Abcam); anti-porin (1:1000, MitoSciences); anti-Sirt1 (1:500, Cell Signaling); anti-histone H3 (1:10,000, Millipore); anti-Acetyl-Histone H3 (1:500, Millipore); anti-AMPK (1:1000, Cell Signaling); and anti P-AMPK (Thr 172) (1:1000, Cell Signaling). Immunoblot analysis was performed, using horseradish peroxidase-conjugated anti-mouse or anti-rabbit antibodies and enhanced chemiluminescence western blotting reagents (Amersham, Pharmacia Biotech). Membranes were also probed with anti-actin antibody (1:2000 dilution, Sigma Aldrich) as internal loading control and densitometry value of immunoreactive bands for each sample was normalized versus the corresponding densitometry value of actin.

### 2.10. Quantitative analysis of mtDNA content

Total genomic DNA was extracted from NPCs using the NucleoSpin kit (Macherey-Nagel) and quantitative real-time PCR reactions were performed by using the Applied Biosystems™ 7900HT and the SYBR-Green PCR Master Mix (Qiagen). Triplicate reactions were performed using primers for mitochondrial DNA (mtDNA) sequence (forward: 5'-CCGCAAGGGAAGATGAAAGA-3'; reverse: 5'-TCGTTTGGTTTCGGGGTTTC-3') and for the genomic DNA (gDNA) sequence of glyceraldehyde 3-phosphate dehydrogenase (GAPDH). Forward: 5'-GAACATCATCCCTGCA TCCA-3'; reverse: 5'-CCAGTGAGCTTCCCCTTCA-3') housekeeping gene, as described in Ref. [15].

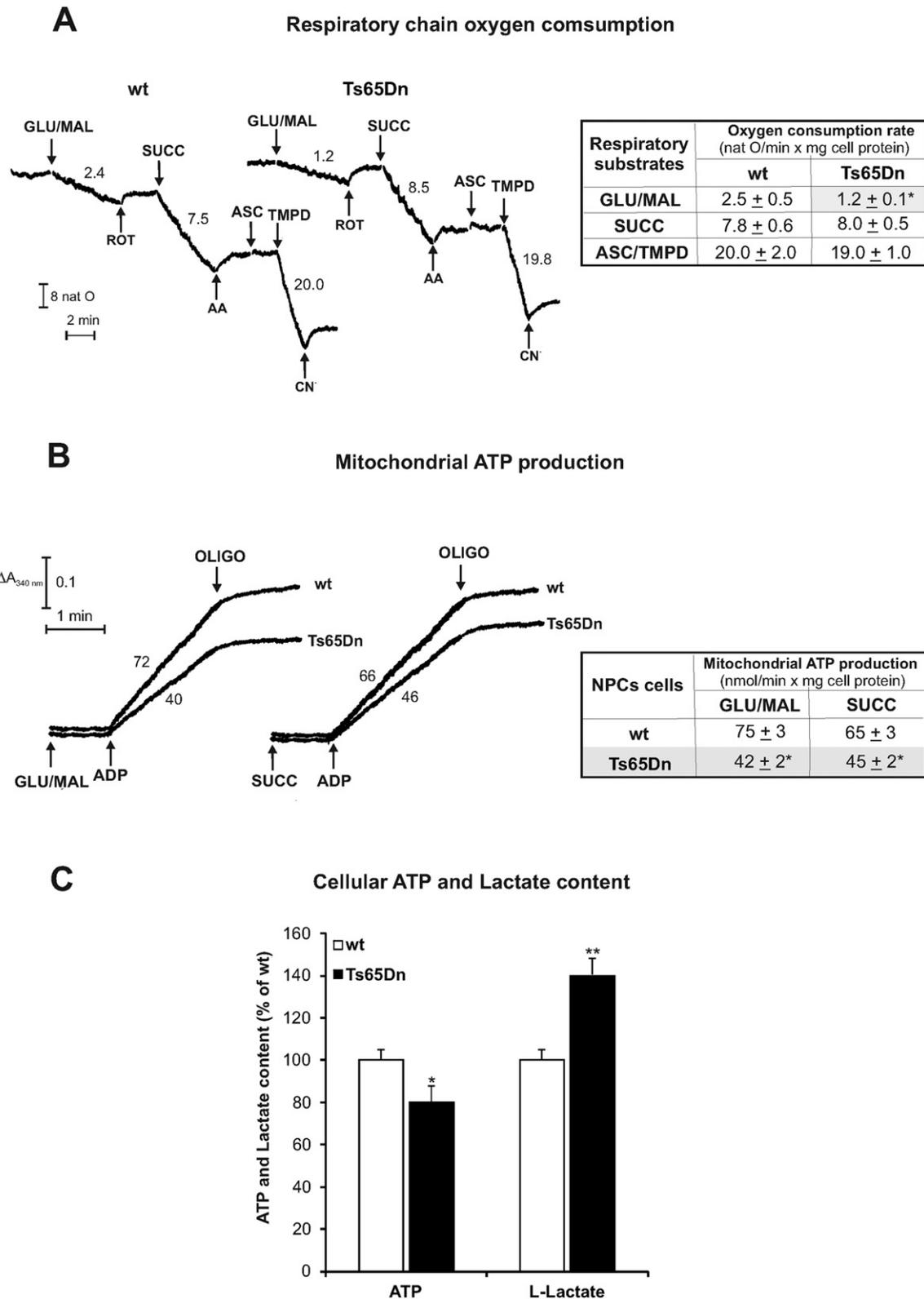
### 2.11. Statistical analysis

All data are expressed as mean  $\pm$  standard deviation (SD). Statistical evaluation of the differential analysis between groups was performed by one-way ANOVA and Bonferroni post hoc test or Student's t test as appropriate. The threshold for statistical significance was set at  $P < 0.05$ .

## 3. Results

### 3.1. Mitochondrial bioenergetics is impaired in NPCs isolated from the hippocampus of Ts65Dn mice

To investigate the bioenergetics status in NPCs isolated from hippocampus of Ts65Dn, we functionally evaluated both mitochondrial



**Fig. 1.** Impairment of mitochondrial bioenergetics and reduced cell energy status in Ts65Dn NPCs. Measurements of mitochondrial respiratory chain oxygen consumption (A), ATP production rate *via* OXPHOS (B) and cellular ATP content and L-lactate level (C) were carried out in digitonin-permeabilized (A, B) or cell extracts (C) of wild type (wt) and Ts65Dn NPCs. (A) Representative oxygen consumption traces with rates expressed as natO/min × mg cell protein. NPCs (1 mg cell protein) were added with the uncoupler FCCP (1.25 μM) and subsequently GLU/MAL (5 mM each), ROT (3 μM), SUCC (5 mM), AA (2 μg/10 μl), ASC (5 mM), TMPD (0.5 mM) and CN<sup>-</sup> (0.5 mM) were added to cell suspension. Statistical analysis is reported in the table as mean oxygen consumption rates ± SD of four independent experiments on wt and Ts65Dn NPCs. (B) Representative mitochondrial ATP production traces. NPCs (0.3 mg of cell protein) were added with the ATP detecting system plus 10 μM Ap5A in the presence of the respiratory substrates GLU/MAL (5 mM each) or SUCC (5 mM) plus ROT (3 μM). Where indicated, ADP (0.5 mM) was added. Numbers along curves are rates of ATP production expressed as nmol/min × mg cell protein. Statistical analysis is reported in the table as mean ATP production rates ± SD of four independent experiments on wt and Ts65Dn NPCs. (C) Cellular ATP content and L-lactate level in Ts65Dn are expressed as a percentage of wt NPCs. Data are reported as the mean values ± SD from three independent experiments carried out on wt and Ts65Dn cell lines. Asterisks indicate significant differences between wt and Ts65Dn samples calculated with Student's t test (\* = *P* < 0.05; \*\* = *P* < 0.01).

respiration (Fig. 1A) and ATP production via OXPHOS (Fig. 1B) in permeabilized neuronal progenitors from Ts65Dn with respect to wild type (wt) cells.

As shown by typical experimental traces reported in Fig. 1A and the statistical analysis of data in the related table, respiration rate induced by the addition of complex I substrates GLU/MAL to Ts65Dn NPCs was  $52 \pm 4\%$  lower than that found in wt NPCs. As expected, the respiration rate was blocked in both cell types by complex I inhibitor ROT. On the contrary, the rates of oxygen consumption measured in the presence of the complex II substrate SUCC and of complex IV substrate pair ASC/TMPD were comparable to those measured in wt. As expected, AA and  $\text{CN}^-$  efficiently inhibited complex III and complex IV, respectively.

Consistently with the results shown in Fig. 1A, a  $44 \pm 4\%$  decrease in the rate of mitochondrial ATP synthesis was found when complex I substrates GLU plus MAL were used as energy sources (Fig. 1B and table inside). Notable, differently from SUCC-dependent respiration (Fig. 1A), the rate of SUCC-dependent mitochondrial ATP synthesis was reduced by  $30 \pm 3\%$  in Ts65Dn with respect to wt NPCs, suggesting also an impairment of the mitochondrial ATP synthesis machinery downstream of complex II.

We then sought whether the impairment of mitochondrial ATP production found in Ts65Dn NPC influenced cell energy status by measuring the cellular ATP pool (Fig. 1C). ATP content was slightly but significantly reduced in Ts65Dn cells ( $20 \pm 5\%$ ,  $P < 0.05$ ) with respect to wt. Consistently with a compensatory enhancement of glycolysis, the level of L-lactate was higher in Ts65Dn ( $40 \pm 8\%$ ,  $P < 0.01$ ) than in wt (Fig. 1C), suggesting that, despite the shift toward glycolysis occurring in Ts65Dn to meet the cellular demand for ATP, the OXPHOS-dependent energy deficit remains not fully compensated.

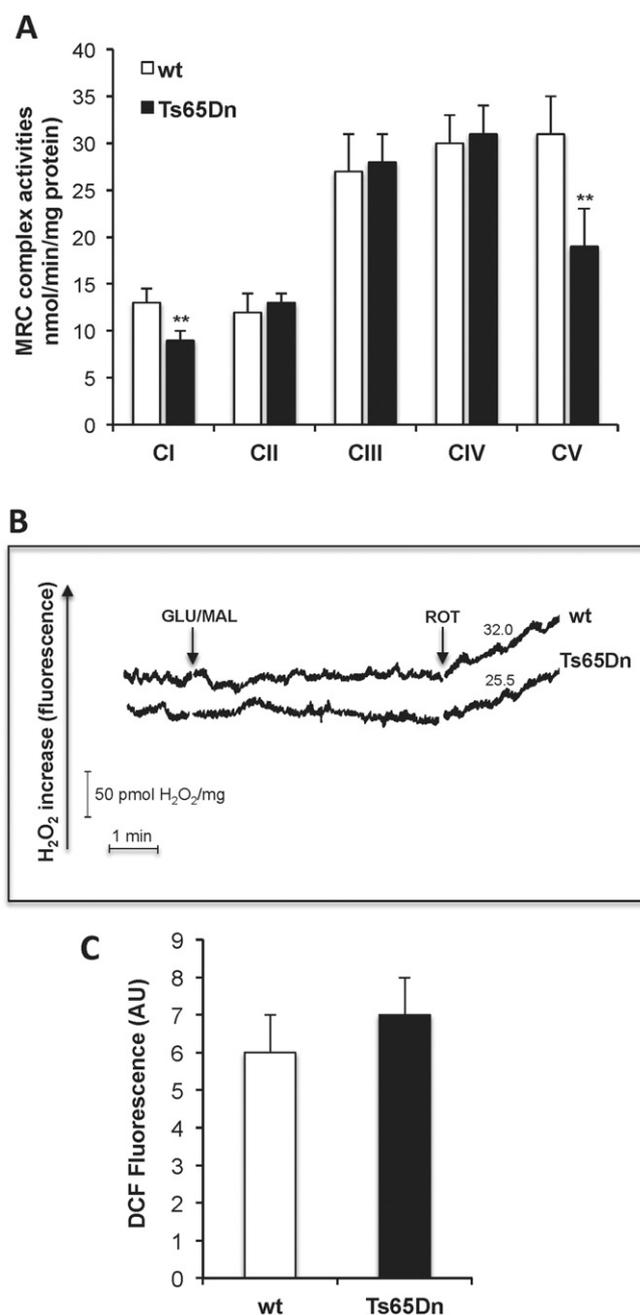
For further investigation, all MRC complex activities were then measured in Ts65Dn and compared to wt NPCs (Fig. 2A). Both complex I and ATP synthase (complex V) activities were significantly inhibited in Ts65Dn with respect to control values ( $36 \pm 4\%$ , and  $40 \pm 8\%$  of inhibition, respectively,  $P < 0.01$ ), whereas no significant difference was detected in the activity of complexes II, III and IV.

Since complex I is a well-established source of ROS [16,39], we sought whether complex I impairment in Ts65Dn led to a change in ROS production. We directly monitored mitochondrial ROS production in permeabilized NPCs following the addition of the complex I respiratory substrates GLU and MAL. Interestingly, as a result of three separate experiments, in spite of complex I activity deficit, no detectable complex I-dependent ROS production by mitochondria was found in Ts65Dn cells as well as in wt, as shown by typical experimental traces reported in Fig. 2B. As a control, the addition of ROT, inhibiting the ubiquinone binding site of complex I, induced, as expected, GLU/MAL-dependent ROS production by mitochondria in both wt and Ts65Dn cells at comparable rates. In parallel, intracellular fluorescence analysis revealed that cellular ROS levels, measured by DCF, was not significantly different in Ts65Dn NPCs from that observed in wt cells (Fig. 2C,  $P > 0.05$ ), and, as wt cells, mitochondrial ROS were below detection when using the mitochondrial fluorescent probe MitoSox (not shown), indicating the absence of ROS overproduction in Ts65Dn cells during NPC proliferation.

### 3.2. Polyphenol treatment restores mitochondrial OXPHOS capacity and cell energy deficit and enhances proliferation of Ts65Dn NPC cells

To test the potential of polyphenols EGCG and RSV to improve the impaired OXPHOS capacity in Ts65Dn cells, we measured MRC complex activities and mitochondrial ATP production by respiratory substrates in NPCs cultured in the presence of either  $20 \mu\text{M}$  EGCG,  $10 \mu\text{M}$  RSV or vehicle, for 24 h. Both EGCG and RSV concentrations were chosen according to preliminary tryouts showing neither cytotoxicity nor ROS production after 24 h treatment with  $20 \mu\text{M}$  of EGCG (as in Ref. [40]) and  $10 \mu\text{M}$  RSV (as in Ref. [41]) of both wt and Ts65Dn cultured NPCs (data not shown).

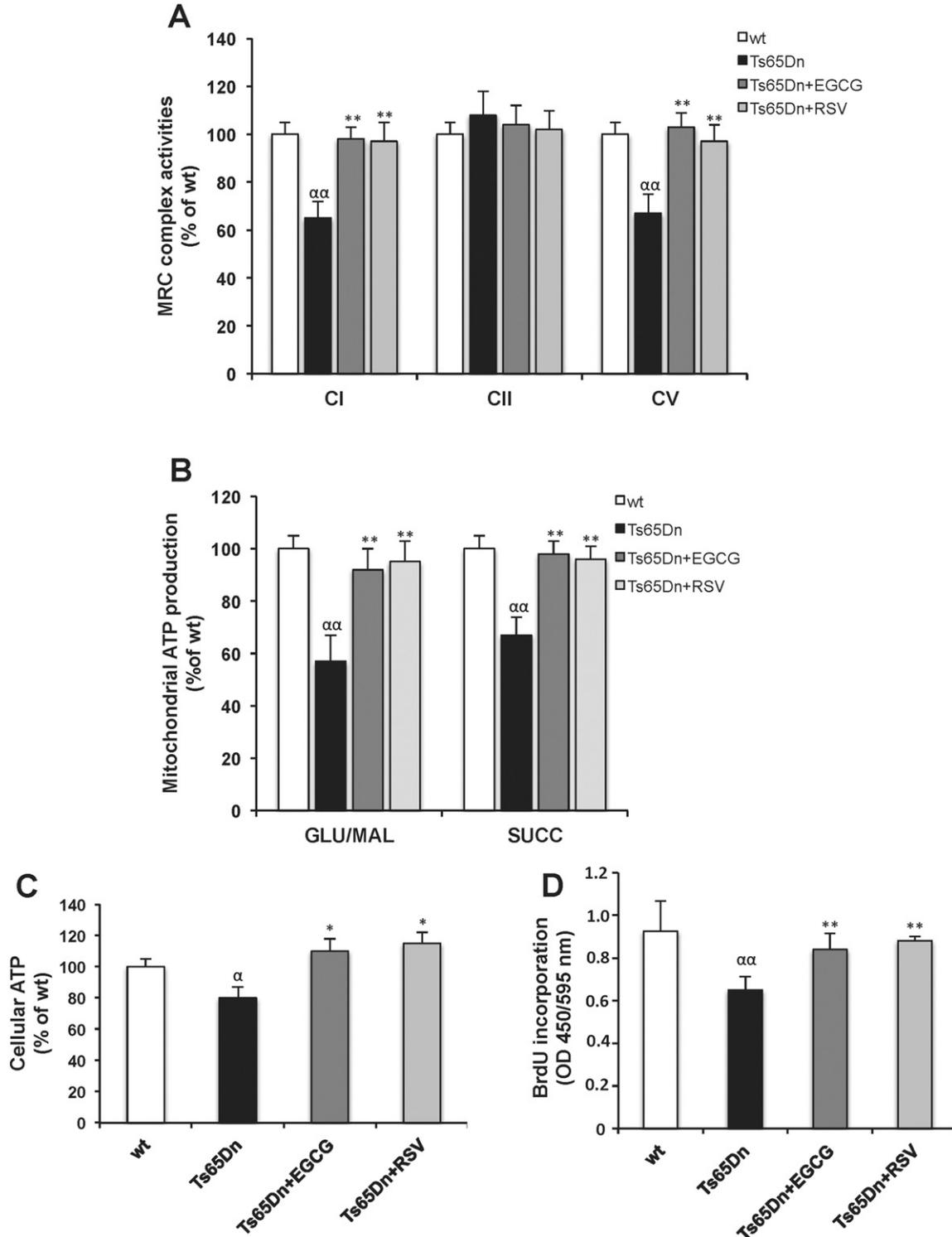
As shown in Fig. 3A, exposure of Ts65Dn cells to either EGCG or RSV completely prevented the specific impairment of mitochondrial



**Fig. 2.** Impairment of complex I and ATP synthase activities in Ts65Dn NPCs is not associated to ROS overproduction. (A) MRC complex activities. The activities of complex I (NADH:ubiquinone oxidoreductase), complex II (succinate:ubiquinone oxidoreductase), complex III (cytochrome c reductase), complex IV (cytochrome c oxidase) and complex V (ATP synthase) were measured photometrically in mitochondrial membrane-enriched fractions (0.1–0.3 mg protein) from NPCs. Data are reported as the mean values  $\pm$  SD from four independent experiments carried out on wt and Ts65Dn NPCs. Asterisks indicate significant differences between wt and Ts65Dn calculated with Student's t test (\*\* =  $P < 0.01$ ). (B) Representative traces of H<sub>2</sub>O<sub>2</sub> production rate in digitonin-permeabilized NPCs isolated from Ts65Dn and wt mice expressed as pmol/min/mg of cellular protein. NPCs (1.3 mg cell protein) were incubated at 37 °C in 2 ml of ROS-ds (for details see [Materials and methods](#)) and the reaction was started by the addition of the respiratory substrates GLU/MAL (5 mM each). When indicated, ROT (5  $\mu\text{g}/10 \mu\text{l}$ ) was added to the cell suspension during the reaction. (C) Quantitative analysis of intracellular radical production carried out as reported in [Material and Methods](#) section and expressed in arbitrary units (AU) of DCF fluorescence at  $\lambda_{\text{exc}}$  488 nm and  $\lambda_{\text{em}}$  520 nm wavelengths. Histograms represent the mean values  $\pm$  SD from three independent experiments carried out on wt and Ts65Dn NPCs.

complex I and ATP synthase (complex V) activities in Ts65Dn cells (Fig. 3A) with no effect on complex II. No significant differences in these activities were found between untreated and treated wt cells

with the polyphenols (not shown). Interestingly, both EGCG and RSV treatments significantly prevented the impairment of mitochondrial ATP production via OXPHOS (Fig. 3B) and conferred to Ts65Dn cells



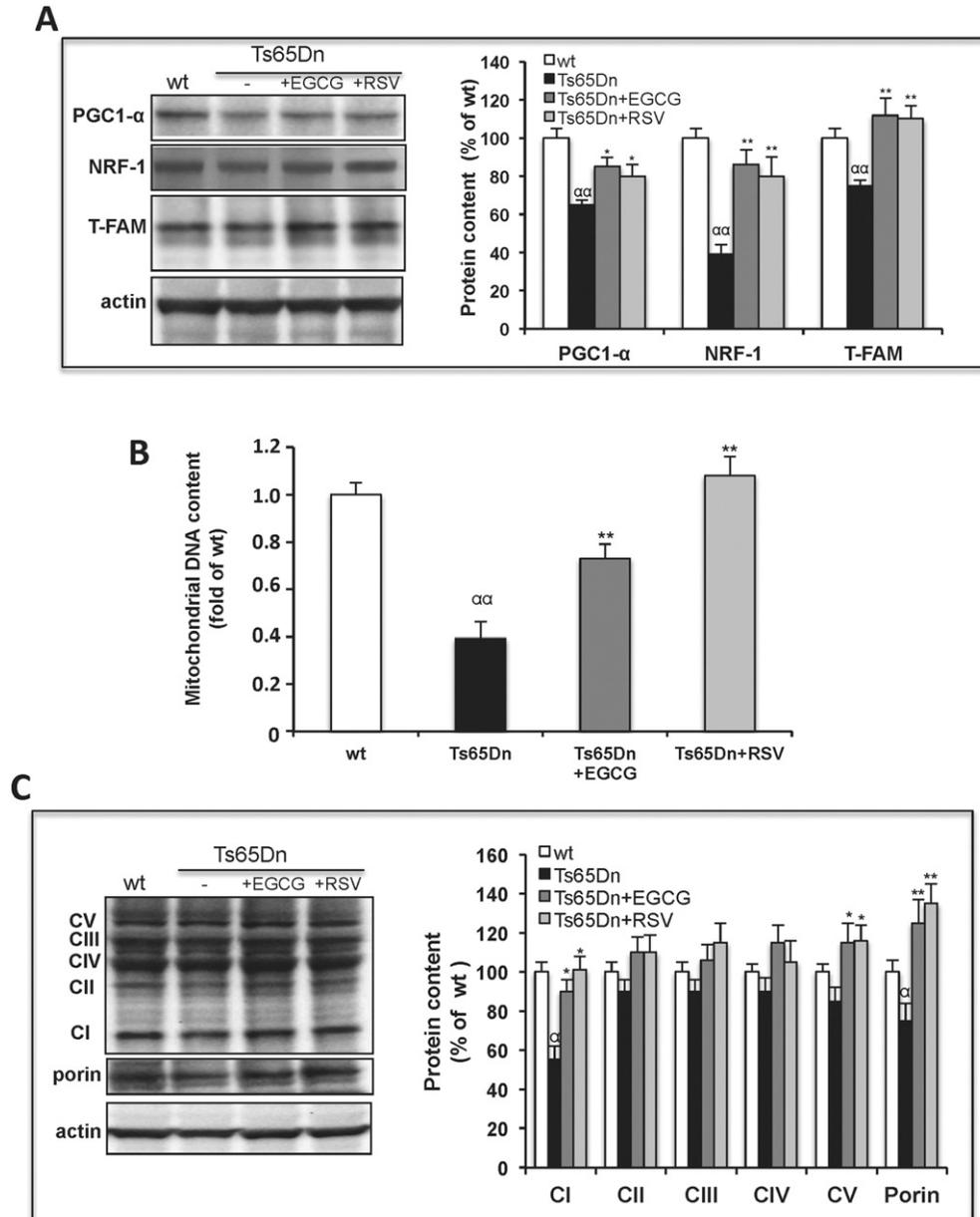
**Fig. 3.** EGCG and RSV rescue the deficit of MRC complex activities, mitochondrial ATP synthesis and cell energy, and enhance proliferation of Ts65Dn NPC cells. Ts65Dn NPCs were incubated in the absence (Ts65Dn) or presence of either 20  $\mu$ M EGCG (Ts65Dn + EGCG) or 10  $\mu$ M RSV (Ts65Dn + RSV) for 24 h. (A) MRC complex activities. The activity of the complex I (CI), complex II (CII) and ATP synthase (CV) were measured spectrophotometrically in mitochondrial membrane enriched fractions (0.1 mg protein) and expressed as percentage of wt. (B) Mitochondrial ATP production. The rate of mitochondrial ATP production via OXPHOS was measured in wt and Ts65Dn samples as in Fig. 1B. Data are mean rates  $\pm$  SD obtained from three independent experiments, expressed as a percentage of wt NPCs. (C) Cellular ATP content and *l*-lactate level. The mean values  $\pm$  SD obtained from three independent experiments are reported as a percentage of wt NPCs. (D) BrdU incorporation in NPCs. Results are mean values of optic density (OD)  $\pm$  SD at the measure-reference wavelengths of 450–595 nm, respectively, obtained from three independent ELISA assay experiments carried out on wt and Ts65Dn NPCs, incubated in the absence or presence of EGCG or RSV. Significant differences, calculated with one-way ANOVA and Bonferroni test, are indicated as follow: wt NPCs vs. Ts65Dn NPCs,  $\alpha$  =  $P < 0.05$ ;  $\alpha\alpha$  =  $P < 0.01$ ; Ts65Dn NPCs vs. Ts65Dn NPCs treated with polyphenols, \* =  $P < 0.05$ ; \*\* =  $P < 0.01$ .

the capability to maintain the intracellular basal ATP content comparable to that of wt cells (Fig. 3C).

To investigate the relative contribution of both EGCG and RSV on trisomic cell proliferation *in vitro*, we determined NPC proliferative capacity in untreated and EGCG- or RSV-treated Ts65Dn NPCs by BrdU incorporation experiments. As shown in Fig. 3D, Ts65Dn NPC cells showed a  $37 \pm 13\%$  reduction of proliferation as compared to wt cultures, in agreement with [5]. Both EGCG and RV treatment for 24 h induced a significant increase of proliferation capacity in Ts65Dn cells similar to the level seen in wt cells (Fig. 3D).

### 3.3. Mitochondrial biogenesis is impaired in Ts65Dn NPCs. EGCG and RSV modulate PGC-1 $\alpha$ and restore mtDNA content

Control of mitochondrial energetic functions can be attained through the regulation of a number of transcriptional factors and cofactors among which the peroxisome proliferator-activated receptor- $\gamma$  co-activator (PGC)-1 $\alpha$  [42,43], the master regulator of mitochondrial biogenesis [44]. Analysis of the protein levels of the PGC-1 $\alpha$ , and the target genes, the nuclear respiratory factor 1 (NRF-1) and the mitochondrial transcription factor A (T-FAM) [45] by immunoblotting, revealed a



**Fig. 4.** EGCG and RSV activate mitochondrial biogenesis in Ts65Dn NPCs. Ts65Dn NPCs were incubated in the absence (Ts65Dn) or presence of either EGCG (Ts65Dn + EGCG) or RSV (Ts65Dn + RSV) for 24 h. (A) Representative immunoblot and densitometric analysis of protein levels of PGC-1 $\alpha$ , NRF-1 and T-FAM measured in cell extracts (0.05 mg protein). Data are mean values ( $\pm$ SD) of three independent experiments on wt, Ts65Dn, Ts65Dn + EGCG and Ts65Dn + RSV NPCs. Results of Ts65Dn samples are expressed as percentage of untreated wt. (B) Real-time PCR analysis of mtDNA content. The mtDNA marker (COX II) and a genome DNA marker (GAPDH) were used. The relative amplification of mtDNA markers in Ts65Dn samples versus wt NPCs was calculated upon normalization to the reference GAPDH as described in Materials and methods. Values are the mean  $\pm$  S.E. of three independent experiments. (C) Representative immunoblot and densitometric analysis of protein levels of 20-kDa subunit of complex I (CI), 30-kDa subunit of complex II (CII), core 2 protein of complex III (CIII), COX I of complex IV (CIV) and  $\alpha$  subunit of F1 ATPase (CV) in cell extract (0.05 mg protein); protein levels of porin and  $\beta$ -actin were also analyzed as mitochondrial and cytosolic protein markers, respectively. Data are mean values ( $\pm$ SD) of three independent experiments on Ts65Dn, Ts65Dn + EGCG, Ts65Dn + RSV and wt NPCs, expressed as percentage of wt. Significant differences, calculated with one-way ANOVA and Bonferroni test, are indicated as follow: wt NPCs vs. Ts65Dn NPCs,  $\alpha$  =  $P < 0.05$ ;  $\alpha\alpha$  =  $P < 0.01$ ; Ts65Dn NPCs vs. Ts65Dn NPCs treated with polyphenols, \* =  $P < 0.05$ ; \*\* =  $P < 0.01$

decrease of all three transcriptional factors in Ts65Dn as compared to wt cells (Fig. 4A). Consistently, a strong decrease in relative mtDNA content (mtDNA/gDNA) measured by real-time PCR (about 2.5-fold) was found in Ts65Dn with respect to wt (Fig. 4B). These results demonstrate that an impairment of mitochondrial biogenesis pathway also occurred in Ts65Dn NPCs, which could account for the decreased OXPHOS efficiency.

Remarkably, both EGCG and RSV treatments of Ts65Dn cells induced a significant enhancement of mtDNA restoring the wt-like levels, particularly in RSV-treated cells (Fig. 4B). In addition the amount of some mitochondrial proteins found reduced in Ts65Dn cells, such as porin and some OXPHOS subunits, were found significantly increased in Ts65Dn cells treated with both polyphenols (Fig. 4C).

We then verified whether the promoting effect of EGCG and RSV on mitochondrial biogenesis in Ts65Dn NPCs was the consequence of the activation of PGC-1 $\alpha$ , as in other studies [46,47]. Both EGCG and RSV treatments induced not only a slight increase of the PGC-1 $\alpha$  protein content but also a strong transcriptional up-regulation of its downstream target proteins NRF-1 and T-FAM (Fig. 4A), therefore suggesting a possible effect of the polyphenols on the PGC-1 $\alpha$  activity at a post-translational level rather than through its expression.

#### 3.4. Polyphenol treatment restore mitochondrial OXPHOS capacity through modulation of Sirt1 and AMPK activities

Post-translational modifications which regulate PGC-1 $\alpha$  activity consist mainly in Sirt1-mediated deacetylation and AMPK-mediated phosphorylation [48]. The low level of PGC-1 $\alpha$  protein in NPCs did not allow us to evaluate directly the acetylation state of the protein. The effect of EGCG and RSV on Sirt1 activity was then assessed by monitoring the acetylated state of the histone 3 (H3), one of Sirt1 main downstream target, as reported in [25,49]. No significant differences in Sirt1 protein levels among untreated and polyphenol-treated NPC cells and not significant acetylation of H3 was found in basal DS cells respect to wt (Fig. 5A). However, both EGCG and RSV treatment induced a significant strong decrease of acetylated H3 content in Ts65Dn cells compared to the untreated cells (Fig. 5A), indicating an increase of the Sirt1 deacetylase activity after polyphenol treatment of Ts65Dn neuronal progenitor cells.

In addition to Sirt1 activation, both EGCG and RSV have also been shown to target 5' AMP-activated protein kinase (AMPK) [50,51]. Whether there are changes in AMPK pathway and the effect of these polyphenols on AMPK phosphorylation is completely unknown in Ts65Dn and in DS in general.

Therefore, we monitored the Thr172-phosphorylation, an indicator of AMPK activation and AMPK protein levels using specific antibodies in untreated and treated Ts65Dn cells (Fig. 5B). Fig. 5C shows that the basal p-AMPK/AMPK ratio was slightly but significantly lower in Ts65Dn as compared with control cells ( $0.8 \pm 0.04$ ,  $P < 0.05$ ; Fig. 5B, lanes 1 and 2). Treatment of both EGCG and RSV (Fig. 5B, lane 5 and 6) induced a significant increase of the P-AMPK/AMPK ratio (Fig. 5C) in Ts65Dn cells as compared to untreated cells, without affecting total AMPK protein levels (Fig. 5B), indicating that both EGCG and RSV cause AMPK activation in neuron progenitor cells of the mouse model of DS.

To test whether and how the modulation of AMPK activity would have an impact on oxidative phosphorylation, we analyzed the effect of a specific AMPK activator, 5-aminoimidazole-4-carboxamide-1- $\beta$ -D-ribofuranoside (AICAR, 1 mM) and the specific AMPK inhibitor, compound C (CC, 10  $\mu$ M) on mitochondrial ATP production rate. Treatment of Ts65Dn cells with AICAR, which stimulates AMPK phosphorylation (Fig. 5C and B lane 3), reversed ATP production impairment through OXPHOS (Fig. 5D); treatment with CC inhibited both AMPK phosphorylation (Fig. 5C and B lane 4) and mitochondrial ATP production (Fig. 5D). Co-treatment with CC and polyphenols, abolished both polyphenol-induced AMPK-phosphorylation (Fig. 5C and B lanes 7 and 8) and ATP

production increases (Fig. 5D) thus suggesting a correlation between AMPK activation and the restoration of oxidative phosphorylation by both EGCG and RSV treatment in DS cells.

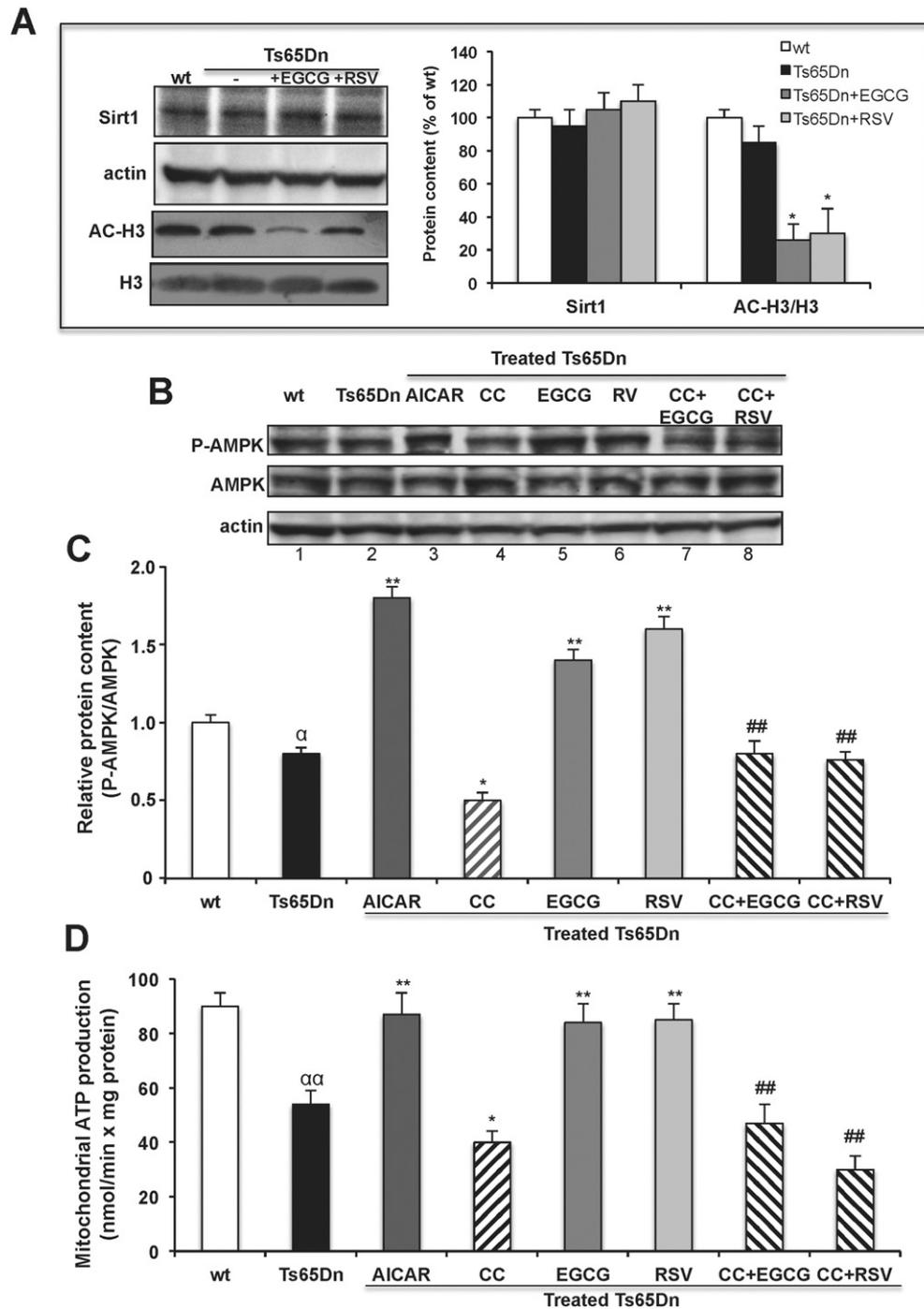
## 4. Discussion

In this manuscript, we provide for the first time evidence of a severe and multi-level impairment occurring at mitochondria during proliferation of cultured adult hippocampal progenitors from the Ts65Dn mouse model of DS and shed light on how mitochondrial dysfunctions could contribute to impaired adult neurogenesis in DS and to the intellectual disability thereon. We further show that treatment of Ts65Dn NPCs by EGCG and RSV reactivates mitochondria bioenergetics and biogenesis and promote neuronal progenitors cell proliferation.

EGCG has been already tested in human and in mouse models of DS [24,52,53] and considered an interesting candidate drug for therapeutic treatment of DS. EGCG is multimodal molecule acting on several cell signaling pathways [17] and also a specific inhibitor of the kinase activity of the chromosome 21-encoded DYRK1A, a protein involved in brain development and in the control of synaptic plasticity [54,55]. Many reports display the positive effect of this polyphenol on hippocampal development and cognitive feature in DS as exclusively due to the modulation of DYRK1A activity [56–58] albeit we have already demonstrated the positive modulation of EGCG on mitochondrial function in DS both *in vitro* [25] and *in vivo* [26] in humans. As far as resveratrol, despite its neuroprotective effect in many neurological diseases [19–22] due to its multiple-target action [59], which includes mitochondrial functions [18], but excludes at our knowledge DRK1A, it has never been tested in DS. Interestingly we show here that not only EGCG but also resveratrol reversed both mitochondrial energy deficit and the impaired NPC proliferation in Ts65Dn, this strongly suggests that a link occurs between mitochondrial dysfunctions and impairment of NPC proliferation which could account for the reduced neurogenesis in Ts65Dn, in agreement with previous studies [13,60].

It is widely reported that mitochondrial bioenergetics, distribution and shape exert modulatory function over maturation of adult-born hippocampal neurons and on the regulation of neuronal plasticity [12, 61,62] and that mitochondrial dysfunction strongly affects neuronal progenitor proliferation and neuronal function, survival and differentiation [63]. We provide the evidence of a severe bioenergetic deficit during Ts65Dn NPC proliferation resulting in an impairment of cell energy status in spite of an apparent glycolytic compensation. This involves both respiration and ATP synthesis through OXPHOS, due to a defect in MRC complex activity selectively ascribe to complex I and ATP synthase. Interestingly, deficit in ATP synthase and complex I activities is present in many other cell types and tissues from DS patients and mouse models comprising Ts16 mouse brain cortex [64], fetal and adult human skin fibroblasts [15,16] lymphocytes from a DS children [26] and fetal heart fibroblasts [65]. Notably, we show here, differently from human skin fibroblasts, that neural progenitor cells from Ts65Dn mice exhibit neither change in intracellular ROS level nor ROS overproduction by mitochondria as a result of MRC complex I impairment. This observation prompts to argue that dysfunction of MRC complexes is an inherent feature of DS and not a consequence of chronic cell oxidative stress. In line with observations in the brain cortex of the Ts16 mouse model of DS [64], we found a decrease in complex I-dependent activity coupled to a decrease in the protein level of complex I subunit that likely leads to no consequence on electron leak-dependent ROS overproduction.

We show here that not only mitochondrial bioenergetics was compromised in NPC cells, but also mitochondrial biogenic program was altered, as assessed by decreased mitochondrial DNA and protein levels of the co-activator and transcription factors PGC-1 $\alpha$ , NRF-1 and T-FAM which regulate the transcription of nuclear- and mitochondrial-encoded genes and the mitochondrial DNA replication [45]. Genes mapping to human chromosome 21, such as DYRK1A and the regulator of calcineurin 1 (RCAN1) were demonstrated to control PGC-1 $\alpha$  via the



**Fig. 5.** EGCG and RSV increase SIRT1 deacetylase activity and AMPK phosphorylation. (A) Activation of Sirt1 activity by the polyphenols. Ts65Dn NPCs were incubated in the absence (Ts65Dn) or presence of either EGCG (Ts65Dn + EGCG) or RSV (Ts65Dn + RSV) for 24 h. Representative immunoblot and densitometric analysis of protein levels of SIRT1, acetylated histone 3 (AC-H3) and histone 3 (H3) measured in cell extracts (0.05 mg protein). Protein level of  $\beta$ -actin was also analyzed as cytosolic protein marker. Data are mean values ( $\pm$ SD) of three independent experiments on wt, Ts65Dn, Ts65Dn + EGCG and Ts65Dn + RSV NPCs. Results of Ts65Dn samples are expressed as percentage of wt. (B and C) Levels of phospho-/total-AMPK measured by western blotting. (B) Representative immunoblotting and (C) densitometric analysis of protein level of AMPK and its (Thr 172) phosphorylated form (P-AMPK) detected in wt NPCs and in Ts65Dn incubated for 24 h in the absence or presence of the specific AMPK activator, AICAR (1 mM), or the specific AMPK inhibitor, compound C (CC, 10  $\mu$ M). Ts65Dn NPCs were also incubated with either EGCG or RSV in the absence or presence of CC pre-incubated 1 h with the cells before adding the polyphenols. The level of AMPK phosphorylation is calculated as ratio of P-AMPK/AMPK (C). Data are mean values ( $\pm$ SD) of three independent experiments. (D) Mitochondrial ATP production modulated by AMPK activation/inhibition. The rate of mitochondrial ATP production via OXPHOS was measured in wt NPCs and in Ts65Dn, Ts65Dn + EGCG and Ts65Dn + RSV NPCs incubated in the absence or presence of AICAR (1 mM) or CC (10  $\mu$ M) as described in (C). Data are mean rates  $\pm$  SD obtained from three independent experiments, expressed as nmol/min  $\times$  mg of cell protein. Significant differences, calculated with one-way ANOVA and Bonferroni test, are indicated as follow: wt NPCs vs. Ts65Dn NPCs,  $\alpha = P < 0.05$ ;  $\alpha\alpha = P < 0.01$ ; Ts65Dn NPCs vs. treated-Ts65Dn NPCs, \* =  $P < 0.05$ ; \*\* =  $P < 0.01$ ; polyphenol-treated Ts65Dn vs. CC + polyphenol-treated Ts65Dn NPCs, ## =  $P < 0.01$ .

calcineurin/nuclear factor of activated T-cells (NFAT) pathway, largely through the binding of the cytoplasmic NFATc to the PGC-1 $\alpha$  promoter [66]. Thus, the concurrent overexpression of DRK1A and RCAN1 genes,

already observed in Ts65Dn mouse model of DS [67], could also account for the reduced PGC-1 $\alpha$  protein level in Ts65Dn NPCs and the reduced mitochondrial biogenesis and functions thereon. Since PGC-1 $\alpha$  has

also been proposed to modulate mitochondrial functions such as respiration, oxidative metabolism and mitochondrial biogenesis [42,43], we could suggest that the reduced level of PGC-1 $\alpha$  in NPCs could account for its reduced activity and for the reduced oxidative metabolism in these proliferating cells.

Many exogenously supplied factors including polyphenols may be able to drive mitochondrial biogenesis and function by promoting PGC-1 $\alpha$  expression and activity through augmenting signaling responses [27,68]. It is well known that PGC-1 $\alpha$  activity is not only determined by its protein levels, but also by a number of post-translational modifications, such as AMP-activated protein kinase (AMPK) phosphorylation [69], acetylation [70] and methylation [71]. The impact of AMPK and Sirt1 on the phosphorylation/acetylation status of PGC-1 $\alpha$  and other transcriptional regulators, leads to mitochondrial biogenesis and improved mitochondrial function [72].

Here we show that in Ts65Dn NPC cells both RSV and EGCG up-regulates NRF-1 and T-FAM and increase the level of mitochondrial proteins and mtDNA copy number. This promoting effect exerted by both polyphenols occur in part by the up-regulation of PGC-1 $\alpha$  protein expression, which however remains not fully rescued to wt levels, but also could be due to stimulation of Sirt1 and AMPK activities, as shown by the strong increase of both the histone deacetylation activity and P-AMPK phosphorylation level after EGCG and RSV treatments.

AMPK, one of the central regulators of cellular metabolism in eukaryotes, is activated when intracellular ATP level is lower [73]. It is interesting to note that in our DS model, in spite of a reduced cell energy status, AMPK fails to be activated as revealed by the slightly, but significantly reduced P-AMPK level in basal NPC Tn65Dn cells. Why and how this important “metabolic master sensors” fails to be activated in DS and the analysis upstream targets of AMPK merit a further investigation. Here we provide the first evidence of a correlation between activation of AMPK and enhancement of mitochondrial ATP synthesis and show the occurrence of a link between AMPK activation and the beneficial effect of RSV and EGCG on mitochondrial function in Ts65Dn cells. Indeed we show that specific activation or inhibition of AMPK by AICAR or compound C, respectively, results in activation or inhibition of ATP synthesis by OXPHOS and the positive polyphenols' effects on both AMPK phosphorylation and ATP synthesis is abolished by AMPK inhibition.

The modulation of intracellular pathways by both EGCG and RSV, which ultimately reactivates mitochondrial functions, could explain, at least partly, the effects of these nutraceuticals in activation of neurogenesis and neuroplasticity processes. Preclinical study in several mouse models of neurological diseases have showed that both EGCG and RSV not only improved hippocampal neurogenesis, but also hippocampal functional connectivity increasing the number and maturation of dendritic spines in granular cells of the dentate gyrus with beneficial effect on the learning and memory processes [74,75].

As far as the pharmacological relevance of EGCG and RSV in DS, our present data in the context of adult neurogenesis support the idea that both nutraceuticals could have interesting positive implications. Of course, the concentrations of EGCG (165–275  $\mu$ M) and resveratrol (19–34  $\mu$ M) present in tea extracts and red wine, respectively [76,77] are very low with respect to the dose resulting effective and safe in human *i.e.* 10–50 mg/kg body weight/die for EGCG [24,26] and about 20 mg/kg body weight/die for RSV [78]. Thus, enriched extracts of EGCG and RSV, whose bioavailability, pharmacokinetics, pharmacodynamics and ability to cross the blood brain barrier has been already established in humans [79–81], are currently commercially available and could be used as pharmacological tools.

In conclusion, the present report gives new indication on the molecular mechanisms leading to energy deficit and altered mitochondrial biogenesis. Since disturbances in mitochondrial function and signaling have been generally associated with impaired neuroplasticity and neurogenesis [9–11,61,62], mitochondria dysfunction could be strongly associated to the impaired proliferation of neural precursors in the Ts65Dn mouse model of DS. In fact our study demonstrate that the

polyphenols EGCG and RSV can sustain and enhance mitochondrial functions by up-regulating PGC1 $\alpha$ /Sirt1/AMPK axis and promote neural precursor proliferation from Ts65Dn. Thus, our findings support the continuation of clinical research on EGCG and suggest resveratrol as a new drug to be tested *in vivo* as potential therapeutic tool to promote mitochondrial functions, accelerate neurogenesis and ultimately counteract some of the Down syndrome clinical features.

## Disclosure

None of the authors declares financial interests or potential conflict of interests.

## Transparency document

The [Transparency document](#) associated with this article can be found in the online version.

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